





Sang Hoon Lee, M.D.¹, Yoomi Yeo, M.D.², Tae-Hyung Kim, M.D., Ph.D.², Hong Lyeol Lee, M.D., Ph.D.³, Jin Hwa Lee, M.D., Ph.D.⁴, Yong Bum Park, M.D.⁵, Jong Sun Park, M.D., Ph.D.⁶, Yee Hyung Kim, M.D., Ph.D.⁷, Jin Woo Song, M.D., Ph.D.⁸, Byung Woo Jhun, M.D., Ph.D.⁹, Hyun Jung Kim, M.D., Ph.D.¹⁰, Jinkyeong Park, M.D., Ph.D.⁹, Soo-Taek Uh, M.D., Ph.D.¹¹, Young Whan Kim, M.D., Ph.D.¹², Dong Soon Kim, M.D., Ph.D.⁸ and Moo Suk Park, M.D., Ph.D.¹, on behalf of Korean Interstitial Lung Diseases Study Group

¹Division of Pulmonology, Department of Internal Medicine, Severance Hospital, Institute of Chest Diseases, Yonsei University College of Medicine, Seoul, ²Division of Pulmonary and Critical Care Medicine, Hanyang University Guri Hospital, Hanyang University College of Medicine, Guri, ³Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, Inha University Hospital, Inha University College of Medicine, Incheon, ⁴Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, Ewha Womans University College of Medicine, Seoul, ⁵Department of Internal Medicine, Hallym University Kangdong Sacred Heart Hospital, Lung Research Institute of Hallym University College of Medicine, Seoul, ⁶Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine, Seoul National University Bundang Hospital, Seoul National University College of Medicine, Seongnam, ⁷Division of Pulmonary and Critical Care Medicine, Kyung Hee University Hospital at Gangdong, Seoul, ⁸Department of Pulmonary and Critical Care Medicine, Asan Medical Center, University of Ulsan College of Medicine, Seoul, ⁹Division of Pulmonary and Critical Care Medicine, Samsung Medical Center, Sungkyunkwan University School of Medicine, Seoul, ¹⁰Department of Internal Medicine, Keimyung University School of Medicine, Daegu, ¹¹Department of Internal Medicine, Seoul National University Hospital, Seoul, Korea

Idiopathic pulmonary fibrosis (IPF) is a chronic, progressive fibrosing interstitial pneumonia, which presents with a progressive worsening dyspnea, and thus a poor outcome. The members of the Korean Academy of Tuberculosis and Respiratory Diseases as well as the participating members of the Korea Interstitial Lung Disease Study Group drafted this clinical practice guideline for IPF management. This guideline includes a wide range of topics, including the epidemiology, pathogenesis, risk factors, clinical features, diagnosis, treatment, prognosis, and acute exacerbation of IPF in Korea. Additionally, we suggested the PICO for the use of pirfenidone and nintendanib and for lung transplantation for the treatment of patients with IPF through a systemic literature review using experts' help in conducting a meta-analysis. We recommend this guideline to physicians, other health care professionals, and government personnel in Korea, to facilitate the treatment of patients with IPF.

Keywords: Idiopathic Pulmonary Fibrosis; Diagnosis; Disease Management; Korea

Address for correspondence: Moo Suk Park, M.D., Ph.D.

Division of Pulmonology, Department of Internal Medicine, Severance Hospital, Institute of Chest Diseases, Yonsei University College of Medicine, 50-1 Yonsei-ro, Seodaemun-qu, Seoul 03722, Korea

Phone: 82-2-2228-1955, Fax: 82-2-393-6884, E-mail: pms70@yuhs.ac

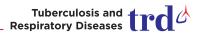
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Introduction

Idiopathic pulmonary fibrosis (IPF) is the most common idiopathic interstitial pneumonia (IIP), which is characterized by progressively worsening dyspnea and decreased lung function. It occurs mainly in the elderly and in men¹. After the 2002 American Thoracic Society/European Respiratory Society (ATS/ERS) guideline, an official guideline revision was provided in 2011 by the American Thoracic Society/European Respiratory Society/Japanese Respiratory Society/Latin American Thoracic Association (ATS/ERS/JRS/ALAT), which deals with the diagnosis and management of IPF¹¹². In the past, there was no proven agent for IPF, but now, some helpful medications for its management are available³.

Since the publication of the 2011 guideline, more studies were performed regarding the treatment of IPF. In the 2011 guideline, for pirfenidone, "conditional recommendation against use" was included; however, this became "conditional recommendation for use" in the 2015 clinical practice guideline, which was reported by ATS/ERS/JRS/ALAT. Furthermore, nintendanib was the conditionally recommended agent in the 2015 guideline³.

Korean Interstitial Lung Disease Study Group performed a national survey about IIP in 2008. According to this 2008 IIP National Survey, 1,685 patients (77.1%) were diagnosed with IPF⁴. Although IPF is the most common form of IIP, there has been no guideline in Korea to date concerning the management of IPF. In this guideline, we discussed the many aspects of IPF: epidemiology, pathogenesis, risk factors, clinical features, diagnosis, treatment, prognosis, and acute exacerbation of IPF. Furthermore, we conducted a meta-analysis, and suggested PICO for the use of pirfenidone and nintendanib and for lung transplantation for the treatment of IPF. We recommend this guideline to physicians, other health care professionals, and government personnel in South Korea, for the care of IPF patients.

Epidemiology of Idiopathic Pulmonary Fibrosis

IPF is the most common and severe form of IIP. IPF prevalence and incidence varies according to regions and countries. Its prevalence rate is reported from 0.7 to 63.0 per 100,000 population, with most studies reporting about 10 per 100,000 population⁵⁻¹⁰. The annual incidence is also reported from 0.6 to 10.7 per 100,000 population^{5,7}. One of the important reasons for these variations in outcome is that many studies were conducted in western countries before the establishment of the revised IPF diagnostic criteria in 2011^{5,6,8,9,11}. According to the 2008 IIP National Survey in Korea, 1,685 out of 2,186 patients (77.1%) with IIP were diagnosed with IPF, from 2003 to 2007⁴. Another study reported the annual incidence of IPF in

Korea as 1.7 per 100,000 population¹².

The prevalence and incidence of IPF tend to be higher in males than in females, and tend to increase with aging. Initial diagnosis of IPF is most common in people aged 70 years and above 8,13,14. In a national study, 1,220 of the 1,685 IPF patients (72.4%) were male and the mean age at diagnosis was 69 years 4. The association between exposure to various external environmental substances and the occurrence of IPF has been reported 4. With smoking, both past and present smoking were reported to be associated with IPF 15. In one study, the relative risk of IPF occurrence in smokers was 2.94 times higher than healthy adults 14.

Livestock, wood dust, metal dust, stones, and diesel particulates are also reported to be associated with IPF^{9,16}. Lee et al.¹⁷ showed that IPF patients with dust exposure are diagnosed with IPF at lower ages and had longer respiratory symptom compared with IPF patients without dust exposure. In their study, dust exposure was identified as a risk factor associated with death. Recently, IPF has been reported to be associated with other diseases, including reflux esophagitis, diabetes mellitus, pulmonary tuberculosis, non-tuberculosis disease, heart disease, lung cancer, and chronic obstructive pulmonary disease 18-20. In addition, the association of viral disease with IPF was also suggested. Herpesviruses such as Epstein-Barr virus/ cytomegalovirus were detected frequently in the lung tissue of IPF patients^{21,22}. Furthermore, the positivity of serum hepatitis C virus antibodies has been reported to be high in patients with IPF²³, and its relevance has been suggested, but there is still insufficient evidence to explain the causal relationship.

Definition and Pathogenesis of Idiopathic Pulmonary Fibrosis

1. Definition of IPF

IPF is defined as a "specific form of chronic, progressive fibrosing interstitial pneumonia of unknown cause, occurring primarily in older adults, limited to the lungs, and associated with the histopathologic and/or radiologic pattern of usual interstitial pneumonia (UIP)." The definition of IPF requires the exclusion of other forms of interstitial pneumonia including other idiopathic interstitial pneumonias and interstitial lung diseases associated with environmental exposure, medication, or systemic disease¹.

2. Pathogenesis of IPF

1) Introduction

IPF results from the recurrent injury to epithelial cells caused by a variety of exposures, such as cigarette smoke, dust, and gastroesophageal reflux (GER). These exposures activate abnormal pathways in a genetically predisposed indi-

vidual or an aged individual, resulting in the failed resolution of the wound-healing response²⁴. Growth factors secreted by the injured epithelial cells recruit fibroblasts which differentiate into myofibroblasts. Myofibroblasts secrete collagen, which accumulates in the extracellular matrix (ECM)^{24,25}.

2) Epithelium

An early consequence of injury to the alveolar epithelial cells (AEC) is the development of an intraalveolar exudate. Organization of the intraalveolar exudate leads to alveolar collapse with apposition of the denuded alveolar walls and loss of surfactant. Both epithelial and basement membrane injuries appear necessary for the development of intraluminal fibrosis. Following an injury, type II cells proliferate and differentiate into type I cells for reepithelialization of the injured alveoli. In IPF, loss of type I cells and marked proliferation of type II cells are noted; however, these cells do not appear to reepithelialize the alveolar space. This may be due to the continuing abnormalities in the basement membrane, which in turn permit the migration of mesenchymal cells from the interstitium to the alveolar regions of the injured lung. Excessive deposition of collagen by mesenchymal cells appears to prevent the reexpansion of the collapsed airspace^{24,25}

Another well recognized aberrancy of the AEC in IPF patients may be the overproduction and release of fibrogenic cytokines and growth factors. Following epithelial injury, progression of fibrosis follows, due to an imbalance between many groups of molecules including proinflammatory and anti-inflammatory cytokines, fibrogenic and antifibrogenic polypeptides, oxidants-antioxidants, and angiogenic and angiostatic molecules. Transforming growth factor (TGF)- β 1 is one of the most potent regulators, and can induce a number of growth factors and cytokines to participate in fibrosis ²⁴⁻²⁶.

(1) Genetics: Genetic predisposition to IPF is supported by familial clustering, the occurrence of lung fibrosis in genetic multi-system disorders, and differing susceptibilities in humans exposed to similar levels of fibrogenic agents²⁴. Surfactant protein C (SPC) and surfactant protein A2 (SPA2) are exclusively synthesized by type II AECs. Mutations in these two genes have been described in association with IPF²⁴. SPC and SPA mutations have been shown to cause incorrect protein folding and processing, thereby activating the cells' endoplasmic reticulum (ER) stress response²⁷. Telomerases help to offset the shortening that occurs during DNA replication. Rare mutations in the telomerase genes, telomerase reverse transcriptase (*TERT*) and telomerase RNA component (*TERC*) have been identified in IPF cases²⁸. Even in the absence of TERT/TERC mutations, some IPF patients have shorter telomeres compared with age-matched controls, suggesting that IPF may be a disease of aging²⁹. A common variant in the promoter of the gene encoding mucin 5B (MUC5B) on chromosome 11 was present in patients with familial and sporadic IPF³⁰.

- **(2) ER stress:** ER stress occurs when there is an imbalance between cellular demand for protein synthesis and the ER's capacity to synthesize, process, and package the requisite proteins. In response to this stress, the cell activates the unfolded protein response (UPR) to match the protein production capacity of the ER. ER stress reaction generally helps the cell to survive. However, if the stress condition is overwhelming or prolonged, and the UPR cannot match the demand, a terminal UPR is activated and the cell sacrifices itself through apoptotic pathways²⁷. ER stress and activation of the UPR also contribute to fibrotic remodeling in the lungs^{24,25,31}.
- (3) TGF- β activation: Levels of active TGF- β are increased in the lungs of patients with IPF. Activation of AECs expressing integrin $\alpha\nu\beta6$ induces TGF- β activation. Possible processes associated with TGF- β activation include inhibition of AEC proliferation, differentiation of fibroblasts to myofibroblasts, and activation of programming that promotes epithelial-mesenchymal transition (EMT)^{31,32}.
- (4) EMT: EMT is the process by which epithelial cells acquire molecular and cell physiologic features, commonly associated with mesenchymal cells, following activation by specific growth factors, of which TGF- β is the prototype. EMT programming is activated during tissue injury and remodeling conditions. The evidence that alveolar cells exhibit EMT in IPF patients is based on studies co-localizing epithelial cell- and mesenchymal cell-associated proteins within IPF lungs^{31,33}.
- (5) External stressors: To develop IPF, the primary pathologic change is a genetic mutation that causes the epithelial cells to become intrinsically abnormal. These genetic abnormalities lead to activation of molecular pathways such as the UPR, TGF- β secretion, and EMT, and ultimately tolung fibrosis. However, genetic mutations may not be sufficient to cause lung fibrosis; rather, a second event—that is, an environmental exposure—may act in concert with a genetically predisposed epithelium^{25,31}.

3) Mesenchyme

- (1) Fibrocyte: Fibrocytes contribute to the development of lung fibrosis by directly producing ECM proteins, by differentiating into fibroblasts or myofibroblasts, or by producing cytokines, which induce collagen deposition. Fibrocytes have been found both in the circulation and in the lung parenchyma of patients with IPF³⁴.
- **(2) Fibroblast:** During normal wound healing, unneeded fibroblasts are removed through the activation of apoptotic pathways. Unlike the normal fibroblasts, IPF fibroblasts resist apoptosis and have greater proliferative capacity. Following the induction of fibroblast activity by epithelial injury, fibroblasts and myofibroblasts appear to organize themselves into fibroblastic foci which precede the appearance of end-stage fibrosis^{24,25,31}.
- **(3) Myofibroblast:** Myofibroblasts are cells that express features of both fibroblasts and smooth muscle cells, and are

identified by their expression of α -smooth muscle actin. Fibroblasts differentiate into myofibroblasts under the influence of mediators, such as TGF- β . EMT is thought to be another source of myofibroblasts 31,33 . Compared with the resident lung fibroblasts, myofibroblasts secrete excessive amounts of matrix. They localize to fibroblastic foci, and are responsible for the synthesis and deposition of ECM and the resultant structural remodeling 24,25,31 .

- (4) Collagen: In the lungs of patients with IPF, excess collagen is deposited in the ECM. Collagen is also degraded extracellularly by a family of matrix metalloproteinases (MMPs). Pathologic fibrotic scars may represent an improper balance between deposition and degradation of ECM components. However, this concept is not only insufficient but probably wrong. The basement membrane, which forms the ECM underlying the epithelium and endothelium of parenchymal tissue, precludes direct access to the damaged tissue. To disrupt this physical barrier, MMPs cleave one or more ECM constituents allowing the extravasation of cells into, and out of, damaged sites. MMPs may have beneficial and detrimental effects, and some individual MMPs such as MMP1 and MMP7 contribute to the progression and poor outcome of IPF while others such as MMP19 seems to be protective ^{24,25,31,35}.
- (5) The clotting cascade: The clotting cascade appears to be activated in pulmonary fibrosis. Cleaved clotting factors have major pro-inflammatory and profibrogenic effects, and activated platelets/endothelial cells release fibrogenic mediators, including platelet-derived growth factor (PDGF) and TGF- β^{36} .

4) Epigenetics

Exposure to environmental stresses such as tobacco smoke, air pollution, and aging can lead to epigenetic DNA changes in IPF lungs³⁷. DNA methylation and/or other epigenetic changes are important in the pathogenesis of IPF and their enduring influences on gene expression could in part explain the relentless progression of the illness³¹.

Risk Factors for Idiopathic Pulmonary Fibrosis

IPF could be due to complex interactions between genetic predisposition, environmental factors, and pulmonary infection ^{1,38}. Therefore, therapeutic agents are being developed focusing on progress rather than disease initiation. Here are some major known risk factors.

1. Age and sex

The average age of IPF diagnosis is about 65 years⁸. The reason for not recommending surgical biopsy as a method of confirmation of recent IPF is because of the age at the time of

IPF diagnosis and the accumulation of experience with typical high-resolution computerized tomography (HRCT) findings^{1,39}. Aging is a major risk factor of IPF based on epidemiological evidence from the mean age at diagnosis, as well as the experimental results showing that alveolar damage is not well cured by cellular senescence. Abnormal short telomeres were observed in the alveolar epithelium of patients with IPF²⁹, and telomerase (an enzyme that maintains telomere length) mutations, were found in familial IPF²⁸. Aging does not effectively result in protein folding in the ER⁴⁰. Finally, pathologic accumulation of unfolded protein increases ER stress and apoptosis. These proteins and apoptosis were increased in the alveolar epithelium of IPF patients^{41,42}. In familial IPF, abnormalities, in the process of making SPA2, have been found, which causes unfolded protein to accumulate⁴³. In addition, aging oxidizes proteins such as glutathione and modifies the function of mesenchymal stem cells, leading to abnormalities in the alveolar epithelial regeneration 44,45.

The incidence and prevalence of IPF is higher in men than in women (1.6:1 to 2:1)⁸, but the prognosis in women is better⁴⁶. It is likely that IPF is common in men because they have relatively high smoking rates and are engaged in occupations that exposes them to inhaled substances.

2. Environmental factor

1) Smoking

Exposure to inhaled substances is an important risk factor of IPF, and smoking is the most common¹⁵. In particular, the risk of IPF increases with more than 20 pack-years of smoking, and the risk of IPF due to smoking correspond to both familial and sporadic IPF⁴⁷. The fact that IPF risk persists after cessation of smoking suggests that inflammation persists after smoking cessation^{48,49}. Smoking itself causes not only epithelial cell damage but also widespread genetic alterations such as chromatin transformation and DNA methylation, which regulates the gene expression involved in tissue healing processes⁵⁰. However, it has been reported that metal dust (brass, lead, and steel), wood dust (pine), farming, raising birds, cosmetology, masonry, and exposure to plant dusts and animal hairs are also related to IPF¹⁶.

2) Infection

Infection is the most common cause of inflammatory reaction, which is considered to be a major factor in the development and progression of pulmonary fibrosis because it initiates alveolar damage and sustains the inflammatory response⁵¹. Numerous viruses and bacteria can cause epithelial cell damage and apoptosis and can modulate the host immune response⁵². The infection experimentally contributes to the onset of IPF by accelerating fibrosis, with other fibrogenic factors^{53,54}. In the past, immunosuppressive therapy for IPF was not effective, and clinical evidence of persistent inflam-



mation was insufficient, suggesting that the role of chronic inflammation in the pathogenesis of IPF was minimal 55 . However, it cannot be denied that inflammatory cytokines and immune cell infiltration are found in the lungs of IPF patients 56 . Animal experiments have shown that interleukin-1 β induces early inflammation and fibrosis through TGF- $\beta 1$, and sustains the aggregation of myofibroblasts and collagen at day 60, irrespective of the presence of residual inflammation, resulting in tissue changes similar to the myofibroblastic foci observed in humans 57 . It is thought that fibrosis progresses due to the complex interactions between initial injury and the adverse reaction of the healing process, resulting in IPF.

3. Genetic factors

IPF is considered to be a complex genetic disorder associated with at least 11 mutations in the nucleotide sequence of seven genes (*MUC5B*, *TERT*, *TERC*, *RTEL1*, *PARN*, *SFTPC*, and *SFTPA2*)⁵⁸. *MUC5B* mutation, which causes abnormalities in the mucociliary function of the peripheral airways, accounts for 30%–35% of the risk of IPF⁵⁸. Among the total IPF patients, 1% of surfactant gene mutation, 35% of promoter mutation of *MUB5B* mucin gene, 3% of telomerase gene mutation, and no known gene mutation in the remaining 60% of patients were reported ^{30,31,59}. Surfactant protein and mucin gene mutations result in a direct epithelial cell damage and apoptosis ^{43,59}, while telomerase gene mutations lead to an abnormal recovery pathway after epithelial damage ²⁸.

4. Gastroesophageal reflux

It is known that the prevalence of GER is higher in patients with IPF compared to the general population ¹⁹. Repeated microaspiration can cause fibrosis by continuing lung injury ^{60,61}. Although there have been reports that the treatment of GER slows the progress of IPF ⁶², recent studies have shown that antacid therapy or surgery for GER does not slow down the progress of IPF ^{63,64}.

Clinical Features and Diagnosis of Idiopathic Pulmonary Fibrosis

1. Clinical features of IPF

IPF should be considered in patients with the following clinical features:

- Age over 50 years
- Persistent dyspnea on exertion
- Persistent cough
- Clubbing of the fingers
- Bilateral inspiratory crackles on auscultation
- Restrictive ventilatory defect with decreased diffusion ca-

pacity

2. Definition of UIP Pattern

1) UIP pattern: HRCT features

UIP is characterized on HRCT by the presence of reticular opacities, often associated with traction bronchiectasis (Figure 1). Honeycombing is common and is critical in making a definitive diagnosis. The distribution of UIP on HRCT is basal and subpleural predominance. Micronodules, air trapping, discrete cysts, extensive ground glass opacities more than the reticulation, consolidation, or peribronchovascular distribution should be considered an alternative diagnosis.

In patients whose HRCT does not show a UIP pattern, surgical lung biopsy is necessary to make a definitive diagnosis.

(1) UIP pattern (all four criteria)

- Subpleural, basal predominance
- Reticular abnormality
- Honeycombing±traction bronchiectasis
- Absence of features that are inconsistent with the UIP pattern

(2) Possible UIP pattern (all four criteria)

- Subpleural, basal predominance
- Reticular abnormality
- Absence of features that are inconsistent with the UIP pattern

2) UIP pattern: histopathology features

The most important histopathologic finding is a heterogeneous appearance at low magnification in which areas of fibrosis and honeycomb change alternate with areas of less affected or normal parenchyma. These histopathologic changes affect the subpleural and paraseptal parenchyma. The fibrotic area is composed of dense collagen and fibroblastic foci.

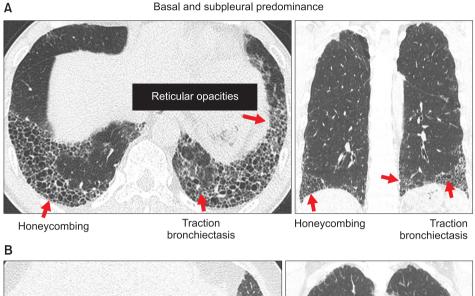
Hyaline membranes, organizing pneumonia, granulomas, marked interstitial inflammation, and predominant airway centered changes should be considered as an alternative diagnosis (Figure 2).

(1) UIP pattern (all four criteria)

- Evidence of marked fibrosis/architectural distortion±honeycombing in a predominantly subpleural/parasepatal distribution
- Presence of patchy involvement of the lung parenchyma by fibrosis
- Presence of fibroblast foci
- Absence of features against the diagnosis of UIP suggesting an alternative diagnosis

(2) Probable UIP pattern

- Evidence of marked fibrosis/architectural distortion±honeycombing in a predominantly subpleural/parasepatal distribution
- Absence of either patchy involvement or fibroblast foci, but not both



Traction bronchiectasis

Figure 1. High resolution computed tomography images demonstrating usual interstitial pneumonia (arrows) (A) and possible usual interstitial pneumonia (arrows) (B) (Courtesy from Ulsan College of Medicine, Asan Medical Center, Internal Medicine, Prof. Song JW).

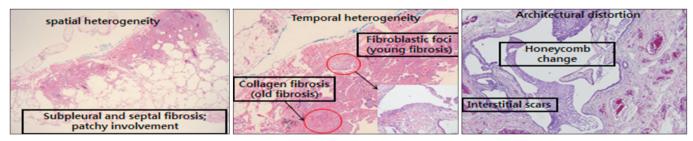


Figure 2. Surgical lung biopsy specimen demonstrating usual interstitial pneumonia pattern (Courtesy from Yonsei University, College of Pathology Prof. Sim HS).

- Absence of features against the diagnosis of UIP suggesting an alternative diagnosis

Or

- Honeycomb change only

Reticular opacities

(3) Possible UIP pattern

- Patchy or diffuse involvement of the lung parenchyma by fibrosis, ±interstitial inflammation
- Absence of other criteria for UIP
- Absence of features against the diagnosis of UIP suggesting an alternative diagnosis

3. Diagnosis of IPF

IPF is associated with the histopathological and/or HRCT pattern of $\mbox{UIP}^{\mbox{\scriptsize 1}}.$

The diagnosis of IPF requires:

- Exclusion of other known causes of interstitial lung disease (ILD)
- The presence of a UIP pattern on HRCT in patients who have not been subjected to surgical lung biopsy
- Specific combination of HRCT and surgical lung biopsy pattern in patients subjected to surgical lung biopsy.

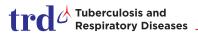


Table 1. Comparison of recommendations in the 2015 and 2011 idiopathic pulmonary fibrosis guidelines (modified)

Recommendation	2015 Guideline	2011 Guideline
Strong against use	Anticoagulation (warfarin), imatinib, selective TKI against PDGF receptors Combination PL+AZA+NAC Selective ERA (ambrisentan)	Dual ERAs (macitentan, bosentan)
Conditional against use	Phosphodiesterase-5 inhibitor (sildenafil) Dual ERAs (macitentan, bosentan)	Anticoagulation (warfarin), Combination PL+AZA+NAC Pirfenidone NAC monotherapy Anti-PH therapy
Conditional use	Nintedanib, a TKI targets multiple TKs including VEGF, FGF, and PDGF receptors Pirfenidone Antiacid therapy	Antiacid therapy
Deferred next update	LTX; single vs bilateral LTX	NA

TKI: tyrosine kinase inhibitor; PDGF: platelet derived growth factor; PL: prednisone; AZA: azathioprine; NAC: N-acetylcysteine; ERA: endothelin receptor antagonist; PH: pulmonary hypertension; TK: tyrosine kinase; VEGF: vascular endothelial growth factor; FGF: fibroblast growth factor; LTX: lung transplantation; NA: not available.

The accuracy of the diagnosis of IPF increased with multidisciplinary discussions between pulmonologists, radiologists, and pathologists who have experience at diagnosing $\rm ILD^{65}$.

Treatment of Idiopathic Pulmonary Fibrosis

The treatment of IPF was described according to an official ATS/ERS/JRS/ALAT clinical practice guideline: treatment of idiopathic pulmonary fibrosis: an update of the 2011 clinical practice guideline (Table 1)³.

For the treatment of IPF, there is a strong recommendation against the use of the following: anticoagulation (warfarin), imatinib (a selective tyrosine kinase inhibitor against PDGF receptors), combination prednisone, azathioprine, and Nacetylcysteine, and selective endothelin receptor antagonist (ambrisentan). Furthermore, for the treatment of IPF, there is a conditional recommendation against the use of the following agents: phosphodiesterase-5 inhibitor (sildenafil) and dual endothelin receptor antagonists (macitentan, bosentan). Nacetylcysteine monotherapy and antacid therapy were left unchanged from the 2011 guideline. However, for the treatment of IPF, there is a conditional recommendation for the use of the following agents: nintedanib (tyrosine kinase inhibitor that targets multiple tyrosine kinases) and pirfenidone.

According to the 2015 international guideline, The Korean ILD guideline group selected PICOs concerning pirfenidone, nintedanib, and lung transplantation, for the treatment of IPF, from systemic literature review.

1. PICO for the treatment of patients with IPF

PICO 1: Does pirfenidone reduce the decrease in forced vital capacity (FVC) in patients with IPF?

PICO 2: Does nintedanib reduce the decrease in FVC in patients with IPF?

PICO 3: Does lung transplantation improve the survival in patients with IPF compared with medical treatment?

2. Summary of the recommendations for the treatment of patients with IPF

- We suggest that clinicians use pirfenidone in patients with IPF to reduce the decrease in FVC (strong recommendation, high confidence in estimates of effect).
- We suggest that clinicians use nintedanib in patients with IPF to reduce the decrease in FVC (strong recommendation, high confidence in estimates of effect).
- We suggest lung transplantation in patients with IPF at appropriate times to improve survival (strong recommendation, moderate confidence in estimates of effect).

In collaboration with Dr. Hyun Jung Kim (Korea University), a clinical guideline special scientist, we designed a search strategy using medical subject heading keywords and text words (see online supplement), limited to human studies, nonindexed citations, and articles either in English or in any language with English abstracts. Literature searches for pirfenidone, nintedanib, and lung transplantation clinical trials, published up to September 2016, were carried out on four databases (MEDLINE, EMBASE, COCHRANE library, and KoreaMed).

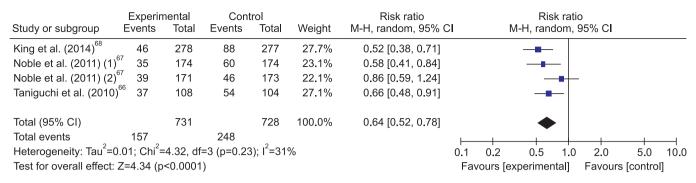


Figure 3. Forest plot of the risk difference of percentage of study population with forced vital capacity decreased by more than 10%. CI: confidence interval.

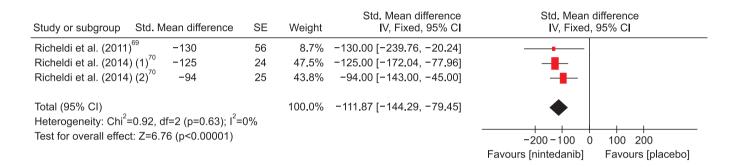


Figure 4. Difference in annual forced vital capacity decline rate between nintedanib and placebo groups. CI: confidence interval.

3. Pirfenidone: PICO1 summary

In order to develop Korean Interstitial Lung Disease guideline, we performed a systematic review and meta-analysis on the treatment efficacy of pirfenidone (1,800 mg or 2,403 mg daily). The end-point was the number of patients who had a decrease in vital capacity (VC) or FVC with more than 10% decline (Figure 3).

A total of 1,092 articles, after removal of duplicates, were screened. Among them, 31 articles were eligible for the full-text review, which revealed three studies (Japan study, CA-PACITY, and ASCEND trials) that were finally included for qualitative and quantitative analyses.

Japan study ⁶⁶ was a phase-3, randomized controlled, multicenter prospective study conducted for 52 weeks. The primary endpoint of this study was change in VC. The mean changes in VC were -0.16 L and -0.09 L in the placebo and pirfenidone groups, respectively. The numbers of patients with a decrease in VC of 10% or more were 54/104 (51.9%) and 37/108 (34.2%) in the placebo and pirfenidone groups, respectively.

CAPACITY⁶⁷ was a phase-3, two replicated, 72-week, randomized double blind, multicenter trial. Since CAPACITY consisted of two separate trials (studies 004 and 006), overall, four trials were actually analyzed in this review. The primary endpoint was change in FVC at week 72. In study 004, mean

FVC change at week 72 was –8.0% (standard deviation [SD], 16.5) in the pirfenidone 2,403 mg/day group and –12.4% (18.5) in the placebo group (difference, 4.4%; 95% confidence interval [CI], 0.7–9.1); 35 of 174 (20%) versus 60 of 174 patients (35%), respectively, had a decline of at least 10% (difference, 4.4%; 95% CI, 0.7–9.1). In study 006, mean change in FVC at week 72 was –9.0% (SD, 19.6) in the pirfenidone group and –9.6% (19.1) in the placebo group, and the difference between groups in predicted FVC change at week 72 was not significant (0.6%, –3.5 to 4.7).

ASCEND trial ⁶⁸ was a phase-3, randomized controlled, multicenter prospective study conducted for 52 weeks. The primary end point was the change in FVC or death at week 52. FVC decline at week 52 was -280 mL in the placebo and -164 mL in the pirfenidone group (absolute difference, 116 mL; relative difference, 41.5%; p<0.001). The number of patients who had a decrease in FVC of 10% or more was 88/277 (31.8%) in placebo, and 46/278 (16.5%) in pirfenidone group.

Risk of bias from the three studies was low. Quality of the evidence was moderate.

In conclusion, we found four trials in three studies that prospectively analyzed the efficacy of pirfenidone in terms of the lung function (FVC). This review revealed that pirfenidone delayed the disease progression by retarding the rate of FVC decline.

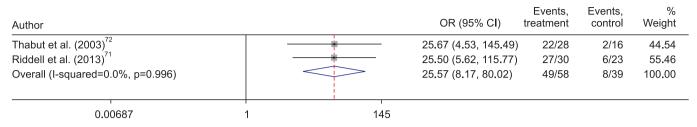


Figure 5. Difference in survival between lung transplantation and placebo groups. OR: odds ratio; CI: confidence interval.

4. Nintedanib: PICO2 summary

A systematic review and meta-analysis, on the treatment efficacy of nintedanib (150 mg, twice daily) was conducted in order to evaluate the annual FVC decline rate by nintedanib in patients with IPF (Figure 4). Among 407 articles, three trials from two studies (INPULSIS and TOMORROW)^{69,70} were eligible for our review. In the pooled analysis, the difference in annual rates of FVC decline between the two groups was -111.9 mL (95% CI, -144.3 to -79.5; p<0.001), which indicated that nintedanib slowed the rate of FVC decline. In addition, the number of patients with over 10% or 200 mL reduction in FVC from the baseline was lower in nintedanib group (risk ratio, 0.59; p<0.001). Risk of bias from both studies was low. However, both studies had high withdrawal rate, and the quality of the evidence was moderate. In conclusion, nintedanib could delay disease progression by retarding the rate of FVC decline.

5. Lung transplantation: PICO3 summary

Given the progressive and incurable nature of IPF, lung transplantation is commonly considered in patients with moderate to severe disease. Due to the lack of randomized controlled trial evidence to guide this recommendation, we considered observational studies which assessed the survival of patients with IPF, with or without lung transplantation waiting cohort (Figure 5).

We selected two articles and one abstract through extensive review of literature.

Riddell et al.⁷¹ showed that for those patients on the lung transplant waiting list, who did not receive a transplant, survival was unfortunately poor (75% at 6 months, 30% at 12 months, and 15% at 18 months). However, following transplantation, all-age survival was 96.6% at 1 year, 90.1% at 2 years, and 78.9% at 5 years. The 5-year survival of those transplanted over the age of 65 was 88.9% (n=9)⁷².

Thabut et al.⁷² reported that 28 patients underwent lung transplantation (27 single and 1 double), 16 patients died while waiting, and two patients remained on the active waiting list. Survival after lung transplantation was 79.4% at 1 year, 63.5% at 2 years, and 39.0% at 5 years. The multivariable analysis showed that lung transplantation reduced the risk of

death by 75% (95% CI, 8%–86%; p=0.03) after adjustment for potential confounding variables⁷².

Meta-analysis showed that lung transplantation improved survival 26 times compared to not having received transplantation in patient with IPF.

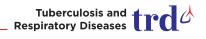
Prognosis of Idiopathic Pulmonary Fibrosis

1. Natural history of IPF

IPF is a chronic progressive pulmonary disease with an average life expectancy of about 3 years ⁷³. Most causes of death in IPF are due to IPF itself (progression into respiratory failure)⁶. Natural history of IPF is very diverse, and individual disease course is difficult to predict. There are also numerous fibrotic lung diseases which share clinical features with IPF, but are clearly differentiated pathologically and show different prognosis. Therefore, clear diagnosis of IPF via multidisciplinary integration of clinical, radiological, and useful histologic material is necessary. Moreover, even after definitive diagnosis, there is individual variability in the disease course. Thus, it is very difficult for clinicians to predict the future prognosis in individual patients at the time of diagnosis.

Rapid deterioration can occur at any time in the disease course of IPF and it can be attributed to known causes such as infections or unknown; and, it is defined as "acute exacerbation." Approximately 5%–10% of patients experience acute exacerbations each year¹, and it is the most important cause of hospitalization and death in IPF patients⁷⁴. A domestic prospective study that analyzed 461 IPF patients showed a significantly shorter survival (15.5 months vs. 60.6 months postdiagnosis) and lower 5-year survival (18.4% vs. 50%) in those who experienced acute exacerbations⁷⁵. A retrospective analysis of IPF patients who were included as control group in a large 2 or 3 phase clinical studies reported that the average decrease in FVC in IPF reached 0.16 to 0.28 L per year⁷⁶.

A number of studies were conducted to confirm the disease characteristics useful for prognosis prediction in IPF. Since the measurement at baseline alone was insufficient to predict the risk of progression, the degree of change in important clinical variables in IPF, including pulmonary function, exercise test,



and chest computed tomography (CT), were also studied for their efficacy⁷⁷.

2. Prognostic index and prognostic model

Because predict the prognosis with a single variable in IPF is almost impossible $^{78-80}$, clinical prediction models, with these individual variables mixed in, has been proposed $^{81-83}$.

1) Clinical prognostic index

- (1) Comorbidity: Various comorbidities are known to be associated with poor prognosis in IPF patients, including pulmonary hypertension⁸⁴, accompanying emphysema⁸⁵, etc. Gastroesophageal reflux disease (GERD) is accompanied in up to 87% in IPF patients, microscopic aspiration, from chronic GERD repeatedly induces lung injury and can contribute to the exacerbation of IPF¹⁹.
- **(2) Age:** Several studies reported that older patients show a worse prognosis^{1,78}, but some studies reported similar prognosis even in those less than 50 years old⁸⁶.
- (3) Sex: Although there are various reports about the influence of sex on IPF deaths^{1,78}, in one study including 215 patients, women showed remarkably longer survival compared to men even after adjusting for age, smoking history, diffusing capacity, and maximum desaturation area⁴⁶.
- **(4) Smoking history:** Smoking is associated with both increased and decreased mortality in IPF patients^{38,79}.
- **(5) Body mass index:** A low body mass index can be an index of poor nutrition and increased energy expenditure at both baseline and during exercise. It shows a significant correlation with lower survival⁸⁷.
- **(6) Severity of dyspnea:** Degree of dyspnea at diagnosis and dyspnea progression according to the time period seemed to be related to mortality ⁸⁸.
- **(7) Oxygen therapy:** Irrespective of VC or the 6-minute walking distance (6MWD), the higher the oxygen demand to maintain percutaneous oxygen saturation at rest in over 96% of IPF patients, the higher the mortality rate⁸⁹.
- (8) Baseline pulmonary function: The most common pulmonary function indices showing correlation with prognosis are FVC, total lung capacity (TLC), and diffusing capacity for carbon monoxide (DLCO)⁹⁰.
- **(9) Decline in pulmonary function:** Changes in pulmonary function show superior predictive power than baseline pulmonary function. Decrease in FVC at 6 or 12 months reliably predicted death 90,91 . Predictive accuracy is higher when using FVC decreases of over 10% as significant threshold rather than the absolute change in FVC 92 . The decrease in DLCO is also associated with increased mortality 93 , and $[P(A-a)O_2]$ decrease >15 mm Hg at 12 months is also related to mortality 94 .
- (10) The 6MWD: The 6MWD at diagnosis and changes in 6MWD can predict mortality. In one study, baseline 6MWD

below 250 m and decrease in 6MWD over 50 m at week 24, was reported as an independent predictor of death. However, the predictive value of the 6MWD is limited due to lack of appropriate standardization⁹⁵.

(11) Acute exacerbation: Acute exacerbation of IPF is related to higher mortality⁹⁶.

Radiological, histopathological, and serum predictors

Chest HRCT is a standard imaging test in the evaluation of IPF, providing diagnostic and predictive information. Fibrosis and honeycomb extent observed in chest HRCT have shown correlation with FVC and DLCO%, thus predicting mortality⁹⁷. The automated quantified volumes of lung parenchymal abnormalities observed in chest HRCT can also predict mortality⁹⁸. In terms of histopathologic predictors, increased fibroblastic foci showed increased mortality⁹⁹. Some proteins in circulating blood have been reported as associated with survival in IPF^{1,78}, but the role as a biomarker of prognosis prediction has not been fully verified. Five proteins (matrix metalloproteinase 7, intercellular adhesion molecule 1, interleukin-8, vascular cell adhesion molecule 1, and \$100A12) were studied to confirm survival prediction power by integration of these serum predictors and physiological variables together, resulting in its efficacy regardless of age, sex, and even baseline lung function¹⁰⁰.

3) Composite risk indices

Disease progression and death in IPF is difficult to predict due to the high variability of the disease itself. Therefore, a number of risk-related indices have been developed. Although a composite clinical-radiologic-physiologic score system was developed ¹⁰¹, it could not be widely used because of the many variables which are not routinely measured.

In addition, Mura et al.¹⁰² developed a risk standardization tool to predict survival and rapid progression. Medical Research Council Dyspnea Score >3, 6MWD <72% predicted, and composite physiologic index >41 were independent predictors of 3-year survival. And with these, the risk stratification score (ROSE) was established.

De Bois et al.⁸³ developed a scoring system using independent predictors of mortality from two clinical research data¹⁰³. A simplified model of this includes age, hospitalization due to respiratory causes, FVC% predicted, and changes in FVC% predicted at week 24. The predictive ability for 1-year survival was significantly improved when baseline 6MWD and the change in 6MWD at week 24 were added together⁹⁵.

As a simple but important prognostic model, multidimensional GAP indicator (gender [G], age [A], and two physiologic factors: FVC and DLCO [P]) was developed and is in use¹⁰³. The GAP prediction model was verified for prediction accuracy of actual mortality even in Japan and Korea¹⁰⁴.



3. Prognostic judgment and assessment recommendations in IPF: 2013 NICE guideline

- Evaluation of the rate of initial clinical deterioration with serial pulmonary function test (spirometry test and DLCO) to predict the subsequent clinical course and prognosis of each patient: at the time of diagnosis, 6 months, and 12 months after initial diagnosis.
- If the clinical manifestation deteriorates rapidly, repeat test with shorter intervals is necessary.
- Do not use the 6MWD result at diagnosis for prognosis prediction.
- Respiratory specialists or specialized ILD nursing team should provide accurate and clear information including test results, clinical diagnosis, and management.
- When interviewing IPF patient at the time of diagnosis, it should include discussion about the expected prognosis in a careful manner. At this time, disease severity, average life expectancy, the variety of disease course, and the range of expected survival, as well as information on the selectable treatment methods should be provided.

Acute Exacerbation of Idiopathic Pulmonary Fibrosis

1. Definition of acute exacerbation of IPF

According to the results of recent studies, the natural course of IPF is not constant ¹⁰⁵. Some patients experience acute worsening with a gradual decline in pulmonary function, which are caused by infection, heart failure, pulmonary embolism, and pneumothorax. However, there are cases where the cause is unknown despite precise examination ¹⁰⁶. Acute exacerbation is defined as acute and severe respiratory deterioration associated with new bilateral lung infiltration in IPF patients. Acute

exacerbation can occur in patients with nonspecific interstitial pneumonia, hypersensitivity pneumonitis, and connective tissue disease related interstitial pneumonia¹⁰⁷.

2. Clinical manifestations of acute exacerbation

The main symptom is the deterioration of dyspnea within one month. In some patients, fever, cough, increased sputum, elevated C-reactive protein, hypoxemia, and neutrophilia in bronchoalveolar lavage fluid can be seen ¹⁰⁸. Diffuse bilateral pulmonary infiltration is shown on chest radiography, diffuse alveolar damage, multiple fibroblastic foci, or organizing pneumonia can be seen in lung biopsy specimen.

3. Clinical impact of acute exacerbation

Acute exacerbation has a fatal impact on the prognosis of the patient. The median survival time after acute exacerbation was 22 days to 4.2 months, and the hospital mortality rate was reported as 27%–96%¹⁰⁶. Also, IPF patients who experienced acute exacerbation (median survival time, 15.5 months; 5-year survival, 18.4%) have worse outcome than others (median survival time, 60.6 months; 5-year survival, 50.0%)⁷⁵.

4. Incidence and risk factors of acute exacerbation

The annual incidence of acute exacerbation is known approximately as 5% to 10%, but variable in many studies (18% to 61%)^{105,106}.

Although the risk factors of acute exacerbation are not well known, several studies have shown that pulmonary function (FVC, diffusion capacity, TLC), the severity of dyspnea (modified medical research council scale≥2), the fibrosis extent on chest CT, FVC decline at six months, are associated with acute exacerbation^{75,96,105,109}. Additionally, bronchoscopy, bronchoalveolar lavage, thoracoscopic lung biopsy, and pulmonary

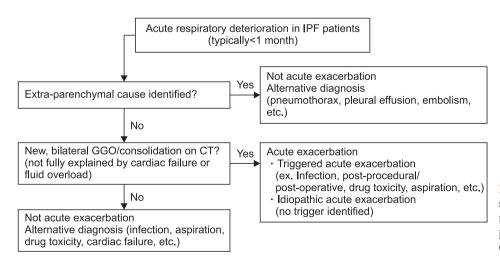
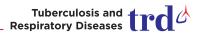


Figure 6. Diagnostic algorism of acute respiratory deterioration in idiopathic pulmonary fibrosis patients. IPF: idiopathic pulmonary fibrosis; GGO: ground glass opacity; CT: computed tomography.



resection of lung cancer could result in acute exacerbation in patients with ${\rm IPF}^{75,106}$.

5. Etiologies of acute exacerbation

The cause of acute exacerbations is not well known, but some studies have suggested possibility of deterioration of underlying disease characterized by acute lung injury^{110,111}. Also, viral infection, aspiration, and air pollution might contribute to the development of acute exacerbation in some patients¹¹²⁻¹¹⁴.

6. Diagnostic criteria of acute exacerbation

According to international guidelines on the acute exacerbation of IPF in 2007, acute exacerbation was defined as (1) deterioration of dyspnea within 30 days, (2) new bilateral pulmonary infiltration on chest HRCT, and (3) exclusion of known causes of acute lung injury (i.e., infection, heart failure, and pulmonary embolism) even with detailed examinations such as bronchial aspiration or bronchoalveolar lavage analysis. If acute exacerbation is suspected, but all of the above conditions are not met, these conditions are defined as suspected acute exacerbation¹⁰⁶.

Revised diagnostic criteria of acute exacerbation were published in 2016¹¹⁴. The revised definition is an acute, severe respiratory deterioration with new bilateral pulmonary infiltration, (1) previous or concurrent diagnosis of IPF, (2) development or acute worsening of dyspnea typically less than 1-month duration, (3) chest CT with new bilateral ground-glass opacity and/or consolidation on a background pattern consistent with the UIP pattern, and 4) deterioration not fully explained by heart failure or fluid overload. Similarly, events that are clinically considered acute exacerbation but fail to meet all four diagnostic criteria are termed as suspected acute exacerbations.

In previous diagnostic criteria, acute exacerbation was diagnosed when the cause of acute lung injury such as infection or aspiration was excluded (idiopathic). However, in the revised edition, bilateral pulmonary infiltration except pulmonary edema was classified as acute exacerbation regardless of etiology. Acute exacerbations are further categorized as triggered acute exacerbation (e.g., infection, aspiration, surgery, or drug) or idiopathic acute exacerbation (no trigger identified) (Figure 6). In addition, although the period of occurrence was limited to (the development or deterioration of dyspnea within one month) in previous edition, revised criteria was changed to the time interval from one month to (typically less than 1 month), which makes the inclusion of suspected cases to be acute exacerbation, which was not previously classified owing to a period problem.

7. Prevention and treatment of acute exacerbation

To date, the treatment of acute exacerbation has been largely lacking in evidence, only based on case reports or retrospective cohort studies. The basis of acute exacerbation treatment is supportive treatment for acute lung injury. Although there is insufficient evidence for drug treatment, giving priority to high dose steroid therapy¹⁰⁵, cyclosporin A, or warfarin, is recommended; however, afterwards, warfarin has been shown to increase the mortality in patients with IPF¹¹⁵. Although these are not listed in the recommendation, in some reports, immunosuppresants such as cyclophosphamide or tacrolimus, and polymyxin B immobilized fiber column hemoperfusion were reported to be helpful; prospective studies are needed to confirm these results. Recently, pirfenidone and nintedanib, which have been shown to be effective in slowing the progress of IPF, have also been shown to reduce incidence of acute exacerbations, in phase II trials. In some patients, stoppage of exposure to air pollution, vaccination, and treatment of GER might be helpful in preventing acute exacerbation. Considering the high mortality, mechanical ventilation is not recommended for severe respiratory failure in patients with acute exacerbation, unless lung transplantation is not being considered.

Authors' Contributions

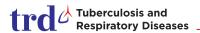
Conceptualization: Park MS. Methodology: Kim YH, Park JS, Park J. Formal analysis: Lee SH, Park MS. Data curation: Lee SH. Writing - original draft preparation: Lee SH, Yeo Y, Kim TH, Lee HL, Lee JH, Park YB, Park JS, Kim YH, Song JW, Jhun BW, Kim HJ, Park J, Park MS. Writing - review and editing: Uh ST, Kim WH, Kim DS, Park MS. Approval of final manuscript: all authors.

Conflicts of Interest

No potential conflict of interest relevant to this article was reported.

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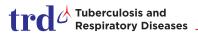


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