



# Expression of Tight Junction Proteins According to Functional Dyspepsia Subtype and Sex

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## Background/Aims

To determine whether the expression of tight junction proteins (TJPs) differs depending on the subtype of functional dyspepsia (FD) and sex.

## Methods

Control (n = 95) and FD (n = 165) groups based on Rome III criteria were prospectively enrolled. Gastric mucosal mRNA expression levels of various TJPs (claudins [CLDN] 1, 2, and 4; zonula occludens-1; occludin [OCLN]) were assessed by reverse transcription polymerase chain reaction. Western blot was performed to determine the levels of various TJPs. *Helicobacter pylori* infection status was evaluated by histology, rapid urease test, and culture. Questionnaires were analyzed.

## Results

In all groups irrespective of *H. pylori*, FD group showed significantly higher CLDN2 mRNA levels than control group ( $P = 0.048$ ). The level of CLDN4 mRNA expression was significantly lower in female FD group than in male FD group ( $P = 0.018$ ). In *H. pylori* uninfected subjects, the level of CLDN1 mRNA expression in female FD group was significantly lower than that of male FD group ( $P = 0.014$ ). The level of CLDN2 mRNA expression was significantly higher in the male postprandial distress syndrome ( $P = 0.001$ ) and male epigastric pain syndrome ( $P = 0.023$ ) groups than in the male control group. In Western blot analysis, the expression of OCLN was significantly elevated 48 hour after the culture with *H. pylori* strain 43504.

## Conclusions

*H. pylori* can affect a variety of TJPs, particularly claudin-4 and occludin. Claudin-2 is thought to be involved in FD irrespective of *H. pylori* status, especially in the pathophysiology of male FD.

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## Key Words

Claudin-2; Dyspepsia; *Helicobacter pylori*; Occludin; Tight junction proteins

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## Introduction

Functional dyspepsia (FD) is usually a functional disorder that is characterized as chronic upper abdominal discomfort without apparent evidence of organic disease.<sup>1,2</sup> FD is classified into 2 subtypes according to the patient's symptoms: postprandial distress syndrome (PDS), which is mainly caused by meal-induced dyspepsia, and epigastric pain syndrome (EPS), which is mainly expressed as epigastric pain or epigastric burning.<sup>2</sup>

Most functional gastrointestinal disorders (FGIDs) are known to have a higher prevalence in women.<sup>3,4</sup> Although gender difference is not obvious depending on the study area or method,<sup>5-7</sup> many studies have reported a higher prevalence among women,<sup>8</sup> and being female is an important risk factor for FD.<sup>9</sup> However, to date, the underlying pathogenesis for the gender difference in FD, especially that depending on the FD subtype, has not been investigated in detail. For example, epigastric burning and pain was reported to be more frequent in female FD, which was closely related with anxiety score; however, the expression of ghrelin was lower in the male FD PDS type, and the expression of nociception-related genes was higher in the male FD EPS type, whereas there was no difference among female patients with FD.<sup>10</sup>

The pathophysiology of FD is very complex and not fully understood. Gastrointestinal motor and sensory dysfunction, impaired mucosal integrity, *Helicobacter pylori* infection, low-grade immune activation, and dysregulation of the gut-brain axis are considered to be the main pathophysiological characteristics.<sup>2,11</sup> Among these, low-grade inflammation in the lamina propria damages the mucosal barrier of the gastrointestinal (GI) tract, increasing the pathophysiological symptoms and leading to increased intestinal permeability.<sup>12</sup> Tight junctions are the important structures for barrier function in epithelial cells.<sup>13</sup> Tight junction molecules are surface-expressing core proteins that include claudins (CLDNs), occludin (OCLN), and junctional adhesion molecules, and these molecules bind directly to the scaffold proteins zonula occludens (ZOs).<sup>13</sup> Tight junctions play an important role in cell adhesion and permeability and thus contribute to maintenance of epithelial physiology.<sup>13,14</sup> However, to date, their role in the stomach has not been evaluated in the context of the pathophysiology of FD. They are likely related to the complex stomach structure involved in acid secretion and *H. pylori* infection-related gastritis. A Japanese group showed that CLDN3 mRNA expression in the duodenal mucosa was increased in FD patients.<sup>15</sup> Our team also reported that the decrease in ZO-1 was an important factor in patients with irritable bowel syndrome-diarrhea

dominant type but only in females.<sup>16</sup> Based on this background, we hypothesized that tight junction proteins (TJPs) in the stomach could play a role in FD that could differ depending on sex and FD subtype. Thus, we aim to determine whether the expression of various TJPs related to intestinal permeability changes differed in patients with FD and whether the differences depended on sex and FD subtype.

## Materials and Methods

### Subjects

From March 2013 to May 2016, we prospectively enrolled study subjects. Korean subjects who received upper GI endoscopy were enrolled. Questionnaires about FD, emotional state, and quality of life (QoL) were obtained from subjects under the guidance of a well-trained interviewer. Subjects who had a GI surgery history, a recent peptic ulcer history, or any malignancy history were excluded. Non-steroid anti-inflammatory drug or anticoagulant users and patients who take medications due to chronic disorders were also excluded.

According to the Rome III criteria,<sup>17,18</sup> the control or FD group were assigned. FD group were classified into the PDS, EPS, and mixed subtype based on the Rome III criteria.<sup>19</sup> The control group was defined as individuals who had no GI symptoms and showed normal endoscopic findings. This study was approved by the Institutional Review Board (B-1101/119-010).

### Severity of Dyspepsia Symptoms, Assessment of Anxiety, Depression, and Quality of Life

The scores of epigastric pain/burning, postprandial fullness, early satiation, and overall abdominal pain (not restricted to the epigastric area) were ranked by a 5-point scale (0, none; 1, mild; 2, moderate; 3, severe; 4, very severe) using a validated Korean version of Talley's bowel disease questionnaire.<sup>20</sup> The Bristol Stool Form Scale<sup>21</sup> was used to evaluate stool consistency, and the number of bowel movements was also estimated. The anxiety and depression of the study subjects was evaluated using the hospital anxiety and depression scale (HADS).<sup>22</sup> It is subclassified into anxiety and depression scales, both of them contains 7 items. Each response is ranked on a 4-point (0-3) scale. As higher scores by HADS demonstrating more depressive or anxious subjects, with a score more than 7 for each scale revealing potential anxiety or depression.<sup>23</sup> To evaluate the QoL, the World Health Organization quality of life scale field trial version (WHOQOL-BREF) was used.<sup>24</sup> WHOQOL-BREF contains questionnaires about overall QoL

and general health with 4 domains, including physical and psychological, social, and environmental domain (overall: range 0-100, domain: range 0-20, higher scores mean a higher QoL). All these 3 questionnaires have been validated in Korea.<sup>20,25,26</sup>

### Upper Endoscopy, Histology, and *Helicobacter pylori* Evaluation

Gastric biopsy specimens were obtained from the antrum and body for histology during the upper endoscopy. These biopsy specimens were used to measure the mRNA expression of CLDN1, CLDN2, CLDN4, OCLN, and ZO-1. The *H. pylori* infection status was evaluated by histology by using the updated Sydney system,<sup>27</sup> CLOtest (Delta West, Bentley, Australia), and culture. If one of these 3 invasive *H. pylori* tests was positive, the patient was diagnosed with current *H. pylori* infection. When these tests were all negative then serum anti-*H. pylori* IgG antibody test (Genedia ELISA; Green Cross Medical Science Corp, Eumsung, Korea) was performed. If the *H. pylori* serology was positive, but no bacteria were found in the invasive studies, it was defined as a past *H. pylori* infection. Similarly, if the patient has *H. pylori* eradication history then this case was also regarded as past *H. pylori* infection. The absence of both current and past *H. pylori* infections was defined as the *H. pylori* uninfected group.

### Quantitative Reverse Transcription Polymerase Chain Reaction

To stabilize and protect RNA from degradation, gastric biopsy specimens were stored in RNAlater Solution (Ambion, Austin, TX, USA) at 4°C. According to manufacturer's recommendations, total RNAs were extracted from the gastric mucosal biopsy specimen using TRIzol reagent (Invitrogen, Carlsbad, CA, USA), and RNA purification was done by using RNeasy mini kits (Qiagen, Valencia, CA, USA). Synthesis of cDNA was performed using 1 µg of total RNA with a High Capacity cDNA kit (Applied Biosystems, Foster City, CA, USA). The thermal cycling parameters for the reverse transcription were as follows: 10 minutes at 25°C, 120 minutes at 37°C, and 5 minutes at 85°C. Quantitative reverse transcription polymerase chain reaction (qRT-PCR) was performed in triplicate using a StepOnePlus Real-time PCR system (Applied Biosystems) with SYBR Premix Ex TaqTM (Takara Bio, Shiga, Japan). Detail information about the primers of each TJPs were described in Supplementary Table. The thermal cycling conditions were as follows: initial denaturation at 95°C for 10 seconds, followed by 40 cycles of 95°C for 5 seconds and 60-65°C for 33 seconds. Relative expression levels of mRNA from the target genes were compared with that of

the endogenous control β-actin using the 2<sup>-ΔΔCt</sup> method.

### *Helicobacter pylori* Infection of HFE-145 Cells

HFE-145 normal male human gastric epithelial cells were kindly provided by Dr Hassan Ashktorab and Duane T Smoot (Howard University, Washington, DC, USA). Cells were seeded onto 6-well slide chambers or 6-cm diameter petri dishes (Thermo Fisher Scientific Nunc, Inc, Waltham, MA, USA). Cells were grown in medium with 2.5% fetal bovine serum and without antibiotics for 20 hours at 37°C prior to *H. pylori* infection. Cells were washed once with sterile phosphate buffered saline (PBS), and then *H. pylori* ATCC 43504 (O4 strain; *cagA+* and *vacA+*) that was purchased from American Type Culture Collection (ATCC, Manassas, VA, USA) were added at a multiplicity of infection of 100:1 for different time points, followed by washing with PBS 6 times to remove nonadherent bacteria. Bacteria were cultured under microaerophilic conditions (5% O<sub>2</sub>, 10% CO<sub>2</sub>, and 85% N<sub>2</sub>) at 37°C. The effect of *H. pylori* infection on expression of ZO-1, OCLN, and CLDN2 in human gastric cell lines was investigated.

### Western Blot Analysis

After 24 hours and 48 hours of co-culture, cells were lysed with RIPA buffer (Cell Signaling Technology, Beverly, MA, USA) after washing twice with PBS, and BCA protein assay was performed for determining protein concentration (Pierce, Rockford, IL, USA). Cell extracts (20 µg protein) were subjected to 10% SDS-PAGE and the separated proteins were transferred to PVDF membranes. After blocking the non-specific binding sites with non-fat dry milk, the membranes were incubated with anti-Claudin-2 antibodies (1:500; 51-6100; Thermo Fisher Scientific, Inc, Waltham, MA, USA), anti-ZO-1 antibodies (1:1000, 61-7300; Thermo Fisher Scientific, Inc), and anti-Occludin antibodies (1:1000; 33-1500; Thermo Fisher Scientific, Inc) at 4°C overnight, then blots were incubated with secondary antibody (goat-anti rabbit antibody, 1:1000; Santa Cruz Biotechnology, Dallas, TX, USA). Detection was achieved with an enhanced chemiluminescence agent (Amersham BioSciences, Buckinghamshire, UK).

### Statistical Methods

Data are presented as the median (interquartile range) or mean ± SD. Categorical variables are presented as numbers and percentages and were analyzed by the Chi-square test or Fisher's exact test. Continuous variables were analyzed using the Mann-Whitney *U* test between 2 groups or the Kruskal-Wallis test among 3 and more groups. *Post hoc* analysis was performed by the Mann-Whitney

U test. SPSS software (version 20.0; IBM Corp, Armonk, NY, USA) was used for Statistical analyses. Two-sided *P*-values of < 0.05 were considered statistically significant.

## Results

### Characteristics of the Subjects

Among 260 enrolled subjects, 95 and 165 subjects were assigned to the control and FD groups, respectively. Table 1 summarizes the baseline characteristics of the study subjects. There was no difference in age between the control and FD groups. There was no significant difference in the male ratios between the control and FD groups (44.2% vs 36.4%, *P* = 0.236). There were no significant sex differences in the percentage of *H. pylori* infection status and FD subtypes.

Current *H. pylori* infection subjects was 31.6% in the control group and 44.8% in the FD group. To exclude the effect of *H. pylori* on TJP, the analysis was performed in the *H. pylori* uninfected

excluding current as well as past *H. pylori* infection subjects.

Comparing the FD and control groups, patients with FD had a higher mean HADS score for anxiety than the controls (5.8 ± 3.3 vs 7.6 ± 3.5, *P* = 0.004). In the WHOQOL-BREF questionnaires, the FD group demonstrated a sex difference on the WHOQOL-BREF. That is, female patients with FD had lower physical domain and social domain scores than male patients with FD (physical, 12.2 ± 3.2 vs 14.6 ± 2.5; social, 11.5 ± 3.9 vs 14.2 ± 1.8; *P* = 0.035 and 0.016, respectively) (Table 1).

### Tight Junction Protein mRNA Expression Levels Between the Control and Functional Dyspepsia Groups in All Group Irrespective of *Helicobacter pylori* Status

FD group showed significantly higher CLDN2 mRNA levels than those of control group (*P* = 0.048), but no differences were observed between male 11.5 ± 3.9 vs 14.2 ± 1.8 and female FD group. The level of CLDN4 mRNA expression was significantly lower in female FD group than those of male FD group (*P* =

**Table 1.** Baseline Characteristics of the Study Subjects

Characteristics	Control (n = 95)			FD (n = 165)			<i>P</i> -value		
	Total (n = 95)	Male (n = 42)	Female (n = 53)	Total (n = 165)	Male (n = 60)	Female (n = 105)	Control vs FD	Control (male vs female)	FD (male vs female)
Age (yr)	55.0 ± 11.3	53.5 ± 12.8	56.2 ± 9.9	51.4 ± 15.4	49.7 ± 13.2	52.4 ± 16.4	0.053	0.250	0.275
Male	42 (44.2)	42 (100)	0	60 (36.4)	60 (100)	0	0.236		
<i>H. pylori</i> status							0.735	0.723	0.785
Current infection	42 (31.6)	17 (40.5)	25 (47.2)	74 (44.8)	25 (41.7)	49 (46.7)			
Past infection	23 (44.2)	10 (23.8)	13 (24.5)	33 (20.0)	12 (20.0)	21 (20.0)			
Uninfected	30 (24.2)	15 (35.7)	15 (28.3)	58 (35.2)	23 (38.3)	35 (33.3)			
Subtype in FD									0.405
PDS				44 (26.7)	19 (31.7)	25 (23.8)			
EPS				42 (25.5)	10 (16.7)	32 (30.5)			
Mixed				79 (47.9)	31 (51.7)	48 (45.7)			
HADS score <sup>a</sup>									
Anxiety	5.8 ± 3.3	5.9 ± 3.2	5.1 ± 3.5	7.6 ± 3.5	7.3 ± 2.4	7.9 ± 4.1	0.004	0.375	0.882
Depression	6.5 ± 3.5	6.4 ± 3.7	7.1 ± 3.7	6.7 ± 3.5	6.0 ± 2.8	7.2 ± 3.7	0.679	0.357	0.353
WHOQOL-BREF score <sup>a</sup>									
Overall quality of life and general health	6.5 ± 3.3	6.4 ± 3.4	6.6 ± 3.6	6.1 ± 3.3	6.8 ± 3.3	5.5 ± 3.5	0.586	0.856	0.092
Physical domain	13.0 ± 3.8	12.7 ± 4.7	13.5 ± 1.6	13.3 ± 3.1	14.6 ± 2.5	12.2 ± 3.2	0.918	0.799	0.035
Psychological domain	12.2 ± 3.8	12.0 ± 4.2	12.6 ± 1.8	12.6 ± 3.6	13.5 ± 2.8	11.8 ± 2.8	0.925	0.799	0.168
Social domain	12.9 ± 3.9	12.6 ± 4.6	13.5 ± 2.2	12.7 ± 3.4	14.2 ± 1.8	11.5 ± 3.9	0.634	1.000	0.016
Environment domain	12.4 ± 3.9	12.5 ± 3.3	12.1 ± 2.4	12.1 ± 3.7	12.5 ± 1.8	11.7 ± 3.1	0.660	0.360	0.905

<sup>a</sup>n = 21 (male 14 and female 7) in control group and n = 31 (male 14 and female 17) in FD group.

FD, functional dyspepsia; *H. pylori*, *Helicobacter pylori*; PDS, postprandial distress syndrome; EPS, epigastric pain syndrome; HADS, hospital anxiety and depression scale; WHOQOL-BREF, world health organization quality of life abbreviated version.

Data are presented as mean ± SD or number (%).

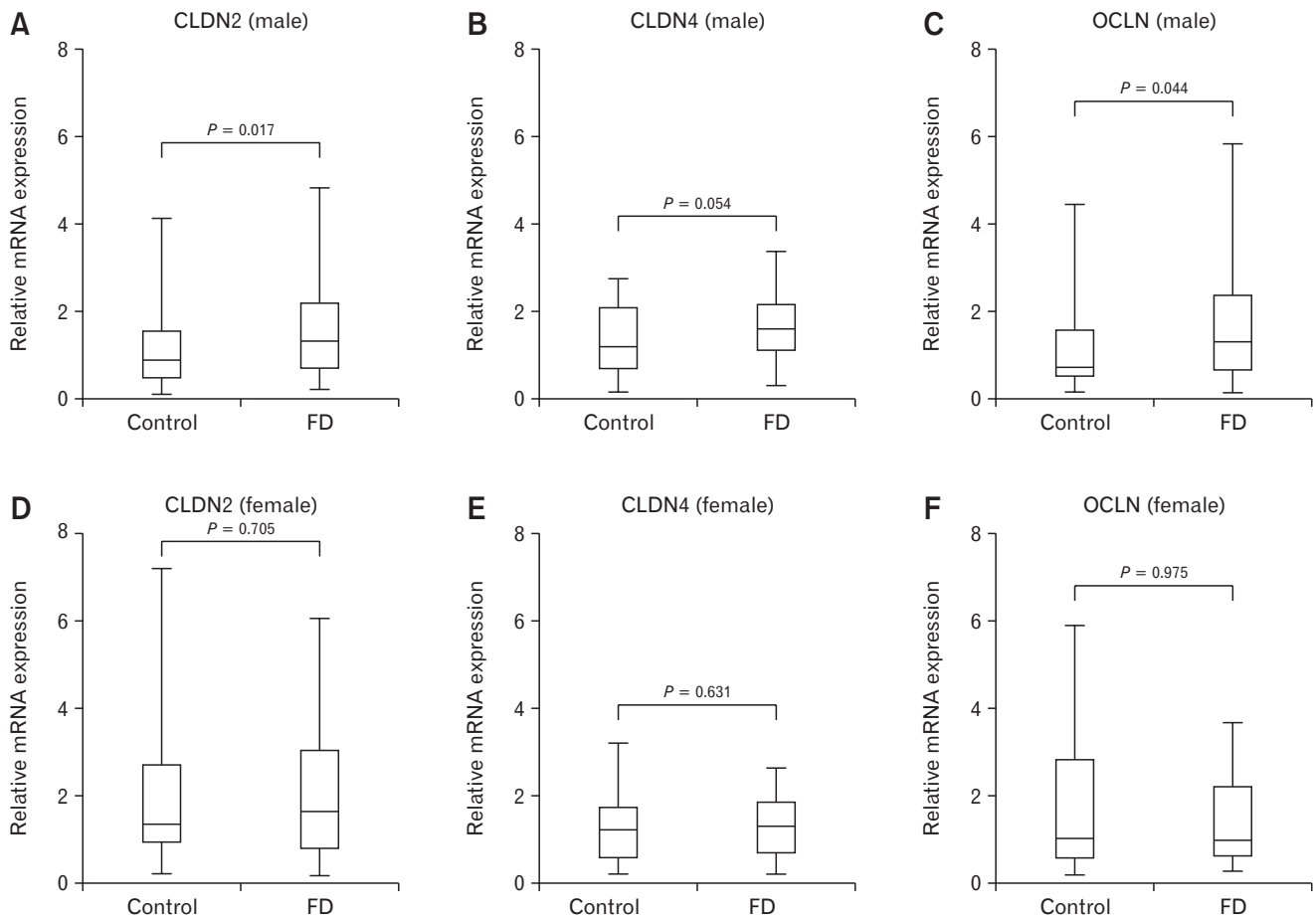
**Table 2.** Comparison of Tight Junction Protein mRNA Expression Levels Between Control and Functional Dyspepsia Groups in All Subjects Irrespective of *Helicobacter pylori*

Tight junction protein	Control (n = 95)			FD (n = 165)			P-value		
	Total (n = 95)	Male (n = 42)	Female (n = 53)	Total (n = 165)	Male (n = 60)	Female (n = 105)	Control vs FD	Control (male vs female)	FD (male vs female)
CLDN1	1.0 (0.6-2.3)	1.1 (0.6-2.5)	1.0 (0.6-2.1)	0.9 (0.5-1.9)	1.2 (0.5-2.5) <sup>a</sup>	0.8 (0.5-1.5)	0.211	0.599	0.104
CLDN2	1.1 (0.6-2.1)	0.9 (0.5-1.5)	1.3 (0.9-2.7)	1.5 (0.7-2.7)	1.3 (0.7-2.2) <sup>a</sup>	1.6 (0.8-3.1)	0.048	0.001	0.130
CLDN4	1.2 (0.6-1.9)	1.2 (0.7-2.1)	1.2 (0.6-1.7)	1.4 (0.8-1.9)	1.6 (1.1-2.2) <sup>a</sup>	1.3 (0.7-1.8)	0.137	0.970	0.018
OCLN	0.9 (0.5-2.4)	0.7 (0.5-1.6)	1.0 (0.5-2.8)	1.1 (0.6-2.3)	1.3 (0.7-2.3) <sup>a</sup>	1.0 (0.6-2.2)	0.177	0.206	0.492
ZO-1	1.4 (0.3-8.3)	1.8 (0.3-10.9)	1.2 (0.3-7.3)	1.0 (0.2-4.2)	1.0 (0.4-4.4)	0.9 (0.2-4.0)	0.195	0.499	0.521

<sup>a</sup>Statistically significant compared to male control group.

FD, functional dyspepsia; CLDN, claudin; OCLN, occludin; ZO-1, zonula occludens-1.

Data are presented as median (interquartile range).



**Figure 1.** Comparison of tight junction protein mRNA expression levels in the control and functional dyspepsia (FD) groups in all groups irrespective of *Helicobacter pylori* status. (A-C) Claudin-2 (CLDN2), CLDN4, and occludin (OCLN) mRNA expression were higher in male FD group than those of control group ( $P = 0.017, 0.054, \text{ and } 0.044$ , respectively). (D-F) There were no significant differences in CLDN2, CLDN4, and OCLN mRNA expression level between female FD group and female control group. Data are expressed as median (interquartile range).

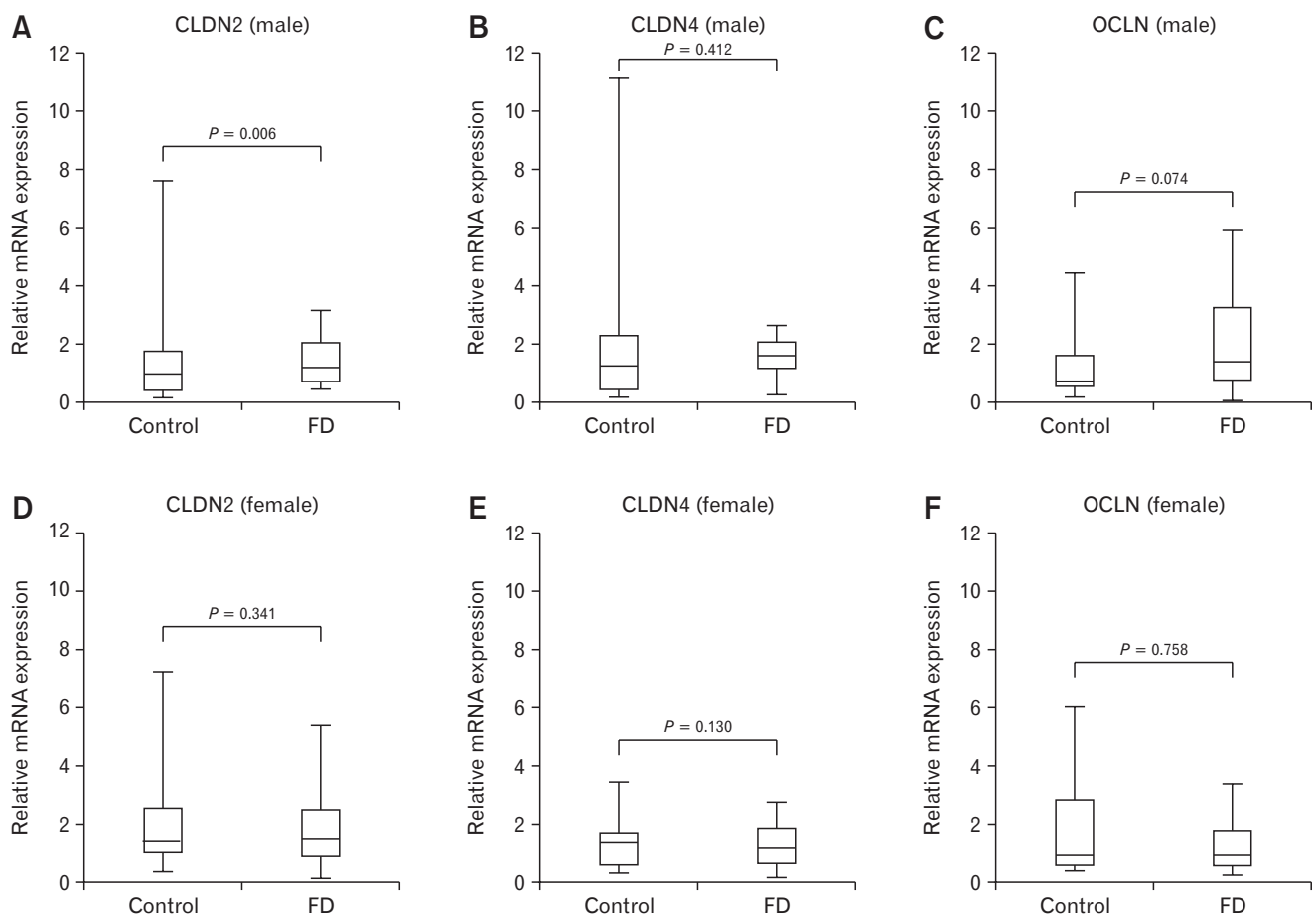
**Table 3.** Comparison of Tight Junction Protein mRNA Expression Levels Between Control and Functional Dyspepsia Groups in *Helicobacter pylori* Uninfected Subjects

Tight junction protein	Control (n = 30)			FD (n = 58)			P-value		
	Total (n = 30)	Male (n = 15)	Female (n = 15)	Total (n = 58)	Male (n = 23)	Female (n = 35)	Control vs FD	Control (male vs female)	FD (male vs female)
CLDN1	0.8 (0.5-1.5)	0.8 (0.4-3.4)	0.8 (0.5-1.3)	1.0 (0.7-1.6)	1.5 (0.7-2.8)	0.9 (0.6-1.2)	0.369	0.513	0.014
CLDN2	0.9 (0.5-1.1)	0.6 (0.2-1.0)	1.1 (0.6-1.5)	1.5 (0.7-2.3)	1.3 (0.8-1.9) <sup>a</sup>	1.6 (0.6-2.5)	0.009	0.037	0.591
CLDN4	1.1 (0.3-1.6)	1.1 (0.2-2.3)	1.3 (0.4-1.5)	1.5 (1.0-1.8)	1.6 (1.2-1.9)	1.4 (0.7-1.8)	0.075	0.713	0.413
OCLN	0.7 (0.5-1.7)	0.7 (0.5-1.2)	1.0 (0.5-2.4)	1.0 (0.6-2.4)	1.3 (0.8-3.6)	1.0 (0.5-1.5)	0.446	0.649	0.113
ZO-1	4.1 (0.4-10.9)	7.4 (1.4-13.8)	1.5 (0.3-7.6)	1.0 (0.2-5.6)	1.9 (0.5-7.1)	0.7 (0.2-5.2)	0.118	0.648	0.147

<sup>a</sup>Statistically significant compared to male control group.

FD, functional dyspepsia; CLDN, claudin; OCLN, occludin; ZO-1, zonula occludens-1.

Data are presented as median (interquartile range).



**Figure 2.** Comparison of tight junction protein mRNA expression levels in the control and functional dyspepsia (FD) groups in *Helicobacter pylori*-uninfected subjects. (A-C) Only claudin-2 (CLDN2) mRNA expression was significantly higher in male FD group than that of control group ( $P = 0.006$ ). (D-F) There were no significant differences in CLDN2, CLDN4, and occludin (OCLN) mRNA expression level between female FD group and female control group. Data are expressed as median (interquartile range).

0.018) (Table 2). CLDN2, CLDN4, and OCLN mRNA expression were higher in male FD group than those of control group ( $P = 0.017, 0.054, \text{ and } 0.044$ , respectively), however, there were no significant differences in mRNA expression level of TJPs between female FD group and female control group (Fig. 1).

### Tight Junction Protein mRNA Expression Levels Between the Control and Functional Dyspepsia Groups in *Helicobacter pylori* Uninfected Subjects

Among 88 *H. pylori* uninfected subjects, 30 and 58 subjects were assigned to the control and FD groups, respectively. The control group consisted of 15 males and 15 females and the FD group consisted of 23 males and 35 females. FD group showed significantly higher CLDN2 mRNA levels than those of control group ( $P = 0.009$ ), however, there were no significant differences in CLDN1, CLDN4, OCLN, and ZO-1 mRNA expression levels between the control group and the FD group (Table 3). However, in sex specific analysis, the level of CLDN2 mRNA expression in male FD group was significantly higher than that of male control group ( $P = 0.006$ ) (Fig. 2). In the control group, female group demonstrated significantly higher CLDN2 mRNA levels than that of the male group ( $P = 0.037$ ). The level of CLDN1 mRNA expression in the male FD group was significantly higher than the female FD group ( $P = 0.014$ ) (Table 3).

### Tight Junction Protein mRNA Expression Levels in Functional Dyspepsia Subtypes According to Sex in *Helicobacter pylori* Uninfected Subjects

There were no significant differences in the mRNA expression levels of CLDN1, CLDN4, OCLN, and ZO-1 among FD subtypes (PDS, EPS, and Mixed). However, the level of CLDN2 mRNA expression was significantly higher in the male PDS group than in the male control group ( $P = 0.001$ ), and the level of CLDN2 mRNA expression was significantly higher in the male EPS group than in the male control group ( $P = 0.023$ ) (Fig. 3 and Table 4). The level of ZO-1 mRNA expression was significantly lower in female EPS group than those of male EPS group ( $P = 0.024$ ) (Table 4).

### Expression of Zonula Occludens-1, Occludin, and Claudin-2 in Gastric Cell Lines After *Helicobacter pylori* Infection

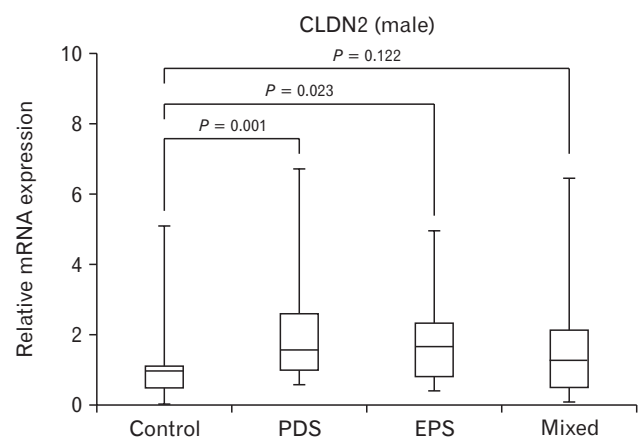
We next examined Western blot analysis whether *H. pylori* infection affects the expression of ZO-1, OCLN, and CLDN2 (Fig. 4A). HFE-145 cell lines were cultured with ATCC 43504 strain of *H. pylori* for 24 hours and 48 hours. We observed the ex-

pression of ZO-1, OCLN, and CLDN2 in *H. pylori* uninfected gastric cell line. When comparing with control, the expression of ZO-1 and CLDN2 did not reach statistical significance at 12 hours and 48 hours (Fig. 4B and 4D), however, the expression of OCLN was significantly elevated 48 hours after the culture with ATCC 43504 (Fig. 4C).

## Discussion

In this study, all groups irrespective of *H. pylori* status, FD group showed significantly higher CLDN2 mRNA levels than control group and the level of CLDN4 mRNA expression was significantly lower in female FD group than those of male FD group. However, in *H. pylori* uninfected subjects, the statistical difference of CLDN4 mRNA expression level between the male FD and female FD group disappeared, on the other hand, the level of CLDN1 mRNA expression in female FD group was significantly lower than that of male FD group.

TJPs consist of transmembrane proteins, including occludin, claudins, and junctional adhesion molecule, and peripheral scaffolding proteins, such as ZO-1.<sup>28,29</sup> Claudin plays an important role in regulation of the permeability of TJJs.<sup>28</sup> While most TJPs play a role in cell barriers maintenance,<sup>29</sup> claudin is known to form a gated paracellular channel that allows sodium ions and other charged ions to pass between adjacent cells.<sup>30-32</sup> Claudin-2 is typically expressed



**Figure 3.** Comparison of claudin-2 (CLDN2) mRNA expression levels according to functional dyspepsia subtypes in male *Helicobacter pylori* uninfected subjects. The level of CLDN2 mRNA expression was significantly higher in postprandial distress syndrome (PDS) group than that of control group ( $P = 0.001$ ) and the level of CLDN2 mRNA expression was significantly higher in epigastric pain syndrome (EPS) group than that of control group ( $P = 0.023$ ). Data are expressed as median (interquartile range).

**Table 4.** Comparison of Tight Junction Protein mRNA Expression Levels in Functional Dyspepsia Subtype According to Sex in *Helicobacter pylori* Uninfected Subjects

Tight junction protein	Control (n = 50)	PDS (n = 26)	EPS (n = 27)	Mixed (n = 45)	P-value (FD subtypes)
CLDN1	0.8 (0.5-1.5)	1.3 (1.0-1.5)	1.0 (0.7-1.5)	0.7 (0.5-1.5)	0.079
Male	0.8 (0.4-3.4)	2.3 (1.0-3.9)	1.4 (0.9-2.2)	1.2 (0.7-2.8)	0.631
Female	0.8 (0.5-1.3)	1.2 (1.0-1.4)	0.8 (0.7-1.0)	0.7 (0.5-1.0)	0.087
P-value (male vs female)	0.513	0.259	0.284	0.076	
CLDN2	0.9 (0.5-1.1)	1.6 (1.0-2.6) <sup>a</sup>	1.6 (0.8-2.3) <sup>a</sup>	1.3 (0.5-2.1)	0.029
Male	0.6 (0.2-1.0)	1.1 (0.8-1.6)	1.5 (0.9-1.9)	1.4 (0.7-2.2)	0.050
Female	1.1 (0.6-1.5)	2.4 (1.5-3.2)	1.7 (0.7-3.2)	1.1 (0.4-2.0)	0.209
P-value (male vs female)	0.037	0.106	0.622	0.621	
CLDN4	1.1 (0.3-1.6)	1.5 (1.0-1.8)	1.6 (1.3-2.0)	1.4 (0.7-1.8)	0.238
Male	1.1 (0.2-2.3)	1.4 (0.7-1.8)	1.6 (1.4-2.0)	1.7 (1.2-1.9)	0.730
Female	1.3 (0.4-1.5)	1.5 (1.1-1.8)	1.6 (0.9-2.3)	1.3 (0.6-1.8)	0.306
P-value (male vs female)	0.713	0.805	1.000	0.302	
OCLN	0.8 (0.5-1.7)	1.5 (0.5-3.7)	1.3 (0.6-2.6)	1.0 (0.6-1.3)	0.608
Male	0.8 (0.5-1.2)	1.9 (0.7-5.7)	2.0 (1.0-3.3)	1.1 (0.6-2.7)	0.261
Female	1.1 (0.5-2.4)	1.1 (0.4-3.4)	1.0 (0.5-2.0)	1.0 (0.5-1.1)	0.871
P-value (male vs female)	0.649	0.639	0.214	0.443	
ZO-1	4.1 (0.4-10.9)	0.5 (0.1-10.6)	0.6 (0.1-1.2)	1.7 (0.5-6.0)	0.092
Male	7.4 (1.4-13.8)	4.9 (0.4-14.3)	1.4 (1.1-6.1)	1.9 (0.5-5.0)	0.691
Female	1.5 (0.3-7.6)	0.2 (0.1-2.0)	0.4 (0.1-0.8)	1.2 (0.7-5.4)	0.063
P-value (male vs female)	0.648	0.310	0.024	0.953	

<sup>a</sup>Statistically significant compared to control group.

PDS, postprandial distress syndrome; EPS, epigastric pain syndrome; FD, functional dyspepsia; CLDN, claudin; OCLN, occludin; ZO-1, zonula occludens-1. Data are presented as median (interquartile range).

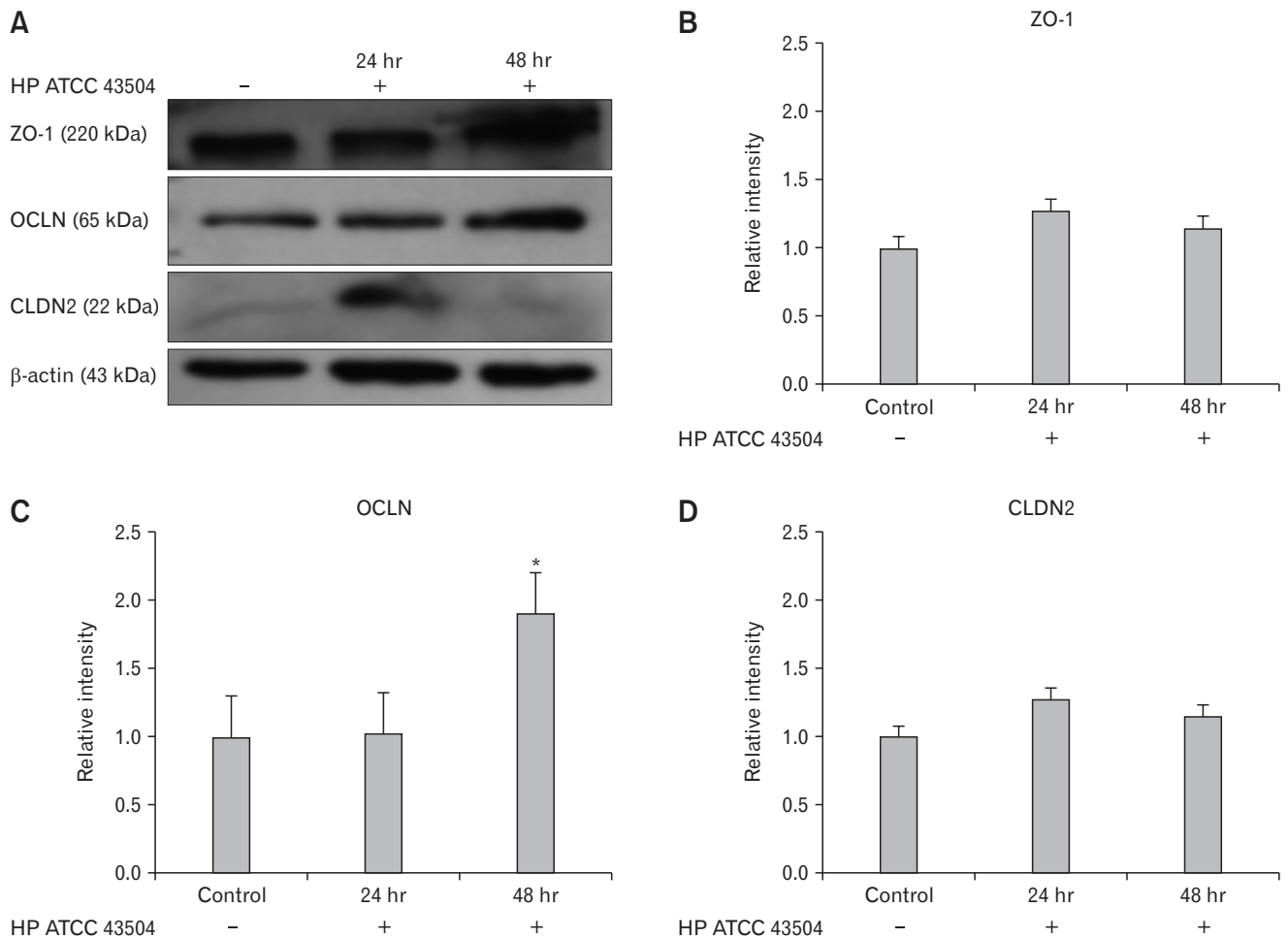
in leaky epithelia such as in the small intestine and provides a major pathway for the paracellular transport of sodium, potassium, and fluids. Thus, claudin-2 expression is linked with increased paracellular permeability.<sup>33</sup> Diseases like Crohn's disease, ulcerative colitis, and celiac disease are characterized by impaired intestinal barrier function;<sup>34</sup> when the barrier function is compromised, claudin-2 is upregulated, while occludin-3, -4, -5, -8, and occludin, which have sealing properties, are downregulated and redistributed out of the TJ domain.<sup>34-36</sup> Taki et al<sup>15</sup> found that duodenal mucosal CLDN3 mRNA expression was increased in FD patients. TJPs and their associated proteins are currently attracting attention, but the data interpretation remains difficult and confusing. Intracellular junctions exist on the most apical side of gastric and colonic columnar epithelial cells. TJPs can be identified by fluorescence staining, so occludin or ZO-1 can be identified by special staining of intercellular contacts. However, claudin, which functions as a major TJ protein, is not only strongly identified by staining the apical side but also widely distributed throughout the lateral side of epithelial cells. Therefore, these data demonstrate that the claudin staining on the cell surface does not necessarily represent TJ strand formation. In

other words, claudins are expressed on both apical side TJ complexes and at the lateral side of epithelial cells where TJPs are absent, and the function of claudins expressed at the lateral side remains unclear.<sup>13</sup> However, little is known about the expression of claudin protein in the stomach, and further research is needed.

The function of occludin is not yet fully understood regardless of the *H. pylori* status. Numerous studies indicate that occludin has crucial roles in the TJ structure and permeability.<sup>37</sup> Recent studies showed that occludin knockdown induces an increase in paracellular permeability to macromolecules, which indicated that occludin plays a role in the maintenance and assembly of TJPs.<sup>37</sup> Occludin is highly phosphorylated on the serine and threonine residues,<sup>38</sup> and the phosphorylation has a role in the maintenance and assembly of the TJ structures.<sup>39</sup> Several studies have shown that tyrosine phosphorylation is caused during disassembly by various stimuli,<sup>40</sup> and underlying this mechanism, the tyrosine phosphorylation of occludin attenuates the interaction with ZO-1 leading to dissociation from the junctional complex.<sup>41</sup>

In normal adult gastric mucosa, strong membranous positive staining for claudin-18 was observed. In contrast, in intestinalized





**Figure 4.** Expression of zonula occludens-1 (ZO-1), occludin (OCLN), and claudin-2 (CLDN2) in HFE 145 cell lines after cultured with *Helicobacter pylori* 43504 strain (HP ATCC 43504; O4 strain). (A) Western blot analysis of ZO-1, OCLN, and CLDN2. (B, D) There were no significant differences in the expression of ZO-1 and CLDN2 between control and *H. pylori* infection group. (C) The expression of OCLN was significantly elevated 48 hours after the culture with *H. pylori* strain 43504. Data are expressed as means ± SE for 3 experiments. \**P* < 0.05 significantly different from the control.

glands showed positive membranous immunoreactivity for claudin-3, -4 and, -7.<sup>42</sup> Thus, it can be said that atrophic mucosa and/or intestinal metaplasia largely affect the pattern of TJPs especially claudin-3, -4, -7, and -18. *H. pylori* inhibits mucosal barrier function by using various virulence factors. Inflammation caused by *H. pylori* can cause the dysfunction of gastric TJPs. Experiments with gastric HGE-20 cells showed that IL-1 receptor phosphorylation by IL-1β develops after expose to *H. pylori*, lead to reduce claudin-4 expression.<sup>43</sup> In experiments with NCI-N87 cells, exposure to *H. pylori* was found to reduce transepithelial resistance (TER) and increase paracellular permeability with concomitant increases in IL-8, IL-6, IFN-γ, IL-1β, TNF-α, and IL-10.<sup>44</sup> In addition, barrier dysfunction through the reorganization of ZO-1 and claudin-1 has

also been demonstrated.<sup>44</sup> However, the study of cell experiments is not yet fully established, and it is not yet known which cytokine influences barrier dysfunction. The most consistent results of TJ dysfunction caused by *H. pylori* virulence factors were from studies on urease and ammonia,<sup>28</sup> that are seems to cause the cytoskeletal rearrangement of TJ.<sup>45</sup> *H. pylori* can affect TER and permeability by neutralizing gastric acid by producing ammonia.<sup>44</sup> In an experiment on HGE-20 cells, high ammonia/ammonium-producing isogenic ureB-negative *H. pylori* mutants influenced luminal acidity, producing a significant increase in TER and a significant decrease in paracellular permeability.<sup>46</sup> A recent ex vivo study using human gastroid monolayers demonstrated declined transepithelial electrical resistance following *H. pylori* inoculation and minimal disruption

of ZO-1 or E-cadherin structure were observed by confocal scanning microscopy.<sup>47</sup>

Similar to our previous study,<sup>10</sup> female patients with FD were more anxious and had a more impaired QoL than male patients with FD. Emerging evidence provided by recent epidemiological studies indicates that anxiety or depression and functional GI symptoms were positively associated.<sup>48</sup> Anxiety has been reported to show a negative correlation with pain threshold.<sup>49</sup> Therefore, more attention is needed for psychological evaluations and management when caring for female FD patients. FD patients showed poorer QoL than the control group, especially on the physical and social domains, indicating the need for more active medical interventions.

The several limitations of this study are as follows. First, this study was a single center study, and the sample size was relatively small. Second, symptom scores were assessed only by questionnaire, which has the risk of recall bias. Third, the mRNA expression value of CLDN2 in the female control group was significantly higher than that of the male control group. It is difficult to conclude exactly because there are no studies comparing claudin-2 levels in normal males and females. However, there is a possibility of false positives caused by using only qRT-PCR. However, the protein expression of CLDN2 was increased in HFE-145 cell lines when cultured with *H. pylori* strain 43504 without the statistical significance. In contrast, expression of OCLN showed statistically significant increase suggesting that *H. pylori* infection differently affect the TJPs. Despite these limitations, in our study, we found sex-based differences in FD regarding the expression of various TJPs that reflect gastric mucosal permeability.

In conclusion, the results of our study indicate that *H. pylori* can affect a variety of TJPs, particularly claudin-4 and occludin. Increase in claudin-2 is thought to be involved in FD irrespective of *H. pylori* status, especially in the pathophysiology of male FD. Further studies are needed to clarify these findings.

## Supplementary Material

Note: To access the supplementary table mentioned in this article, visit the online version of *Journal of Neurogastroenterology and Motility* at <http://www.jnmjournal.org/>, and at <https://doi.org/10.5056/jnm19208>.

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**Author contributions:** Ju Yup Lee analyzed data and drafted the article; Nayoung Kim designed this study, collected the data, and supervised the writing of this manuscript; Yoon Jin Choi helped to analyze data; Ji Hyun Park performed molecular studies; Hassan Ashktorab and Duane T Smoot provided HFE 145 cell line; and Dong Ho Lee supervised the writing of the manuscript. All authors have read and approved the final draft of this paper.

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